

## CNMV ENABLES A CHANNEL FOR REPORTING INFRINGEMENTS

8 January 2018

- The objective is to facilitate collaboration with CNMV in identifying possible infringements and abuse
- Investors can opt to remain anonymous or reveal their personal data

The National Securities Market Commission (CNMV) has opened a communication channel for possible infringements that guarantees the protection of the informer and the quality of the information received.

The function of this tool, which is already available on the CNMV website, is to facilitate the reception of communications from people who have information about alleged infringements of rules of order and discipline of securities markets. This new communication channel has been put in place to meet obligations established in recent European regulations, in particular in Market Abuse Regulation No. 596/2014 and its implementing regulations, as well as in MiFID II.

The information received through this channel can help to identify, in particular, possible market abuse infringements (unlawful use of insider information and market manipulation), minimising the harm caused to investors and strengthening transparency and trust in the Spanish markets.

### **How are communications submitted?**

If the informer prefers to reveal his or her identity, he or she must provide his or her name, occupation or position and the source of the information. These details will lend greater credibility to the communication. To use the channel you can send an email to [whistleblowing@cnmv.es](mailto:whistleblowing@cnmv.es) or call **900 373 362**.

If you decide not to reveal your identity, you can use the anonymous information tool. Once a message has been sent, the application assigns a password so that the response can be consulted, if you so wish, and to attach documentation related to it. This anonymous method only



enables you to send your message, it does not provide additional information (IP address or passwords of any kind) and is designed to protect the anonymity of informers by encrypting messages.

The whistleblowing hotline is open 24 hours a day from Monday to Sunday.

For further information:  
CNMV Communications Department  
Tel.: 91 5851530  
[comunicacion@cnmv.es](mailto:comunicacion@cnmv.es)  
[www.cnmv.es](http://www.cnmv.es)

