

WARNING ON UNREGISTERED INSTITUTIONS IN INTERNATIONAL SUPERVISORS

19 March 2020

The National Securities Market Commission (CNMV) publishes the following list of warnings reported on unregistered entities that were received through international supervisors:

Entity warned	Supervisor	Observation
CLAIMS CONSULTANTS	FCA - REINO UNIDO	
BLACKROCK ADVISORS (UK) LTD (CLON)	FCA - REINO UNIDO	No confundir con la entidad debidamente registrada BlackRock Advisors (UK) Limited
CAPITALCOINX / CAPITALCOIN FX / DIRECT COIN LIMITED CAPITALCOINX.COM/	FCA - REINO UNIDO	
CARTER FS / CARTER FS FINSERVICES carterfs.com	FCA - REINO UNIDO	
CREDIT FINANCE / CREDIT FINANCE LTD	FCA - REINO UNIDO	
HORIZON-ASSET.LTD (CLON) horizon-asset.ltd/	FCA - REINO UNIDO	No confundir con la entidad debidamente registrada horizon asset llp
ING INVESTMENT BANK / ING BANK (CLON) ing-investmentbank.com	FCA - REINO UNIDO	No confundir con la entidad debidamente registrada ING BANK NV
SILICON VALLEY BANK (CLON) svbukc.com	FCA - REINO UNIDO	No confundir con la entidad debidamente registrada con el mismo nombre
STRATEGIC INVESTMENT FUNDS (CLON) strategicinvestmentfunds.com	FCA - REINO UNIDO	No confundir con la entidad debidamente registrada Strategic Investment Funds UCITS plc
SWISS LIFE ASSET GROUP (CLON) swisslife-assetgroup.com	FCA - REINO UNIDO	No confundir con la entidad debidamente registrada Swiss Life (Liechtenstein) AG
TOWN LOANS (CLON) townsloans.co.uk	FCA - REINO UNIDO	No confundir con la entidad debidamente registrada Town Loans Company Limited
GAESCO GESTION (CLON) gaesco-gestion.com	CSSF - LUXEMBURGO	No confundir con la entidad debidamente registrada GVC GAESCO VALORES S.V., S.A.
GOLDING CAPITAL PARTNERS GMBH (CLON) GOLDINGCAPITALPARTNERS.COM	CSSF - LUXEMBURGO	No confundir con la entidad debidamente registrada GOLDING CAPITAL PARTNERS (LUXEMBOURG) S.À.R.L
pms-gestion.com (clon) PMS / PMS GESTION	CSSF - LUXEMBURGO	No confundir con la entidad debidamente registrada portfolio management solutions (pms)

For more details on the warnings received from foreign regulators at the European level, please visit the CNMV website to view any existing warnings on [unauthorized entities](#) and [other warnings](#).

In addition, there is a [search engine](#) available at the CNMV website.

To view additional warnings from non-European regulators, click on the [Investor Alerts](#) link at the IOSCO website.

For further information:

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