

Consumers

15.07.2024 | Topic Prospectuses, Consumer protection

asuco Vertriebs GmbH: Evidence indicates no prospectus published

The Federal Financial Supervisory Authority (<u>BaFin</u>) has evidence indicating that asuco Vertriebs <u>GmbH</u> is offering capital investments to the public in Germany in the form of registered bonds from asuco <u>Immobilien-Sachwerte GmbH</u> & <u>Co. KG</u>. These bonds are being offered under the names "ZweitmarktZins 35-2023" and "ZweitmarktZins 43-2023".

The offers can be found on the websites www.hoertkorn-finanzen.de/investment/asuco-dt-immobilienzins-zweitmarktzins-35-2023, www.asuco.de/produkte/aktuelle-produkte/exklusiv-tranchen/zmz-35-2023 and www.aad-fondsdiscount.de/asuco-zweitmarktzins-43-2023-f103289.

In contravention of section 6 of the German Prospectus Act (Vermögensanlagengesetz – <u>VermAnlG</u>), a prospectus has not been published for this product.

There are no indications that the conditions for exemption from the prospectus requirement are met.

Background information

In Germany, capital investments may be offered to the public only if a prospectus has been published. This prospectus must be approved by <u>BaFin</u> prior to publication. During the approval process, <u>BaFin</u> checks whether the minimum information required by law is included in the prospectus and whether its content is understandable, coherent and consistent. However, <u>BaFin</u> does not check whether the information contained in the prospectus is correct. Moreover, it does not check whether the issuer is reliable nor does it examine the product in question. Issuers of capital investments must ensure that this is clearly indicated in their prospectuses. The issuers are liable for the accuracy of the information contained in the prospectus.

You can check whether an approved prospectus for offers of capital investments to the public has been filed with BaFin by viewing the database <u>Prospectuses filed</u> on the BaFin website.

Please note:

In accordance with section 4 (4) of the German Act Establishing the Federal Financial Supervisory Authority (Finanzdienstleistungsaufsichtsgesetz – FinDAG), BaFin performs its functions and exercises its powers exclusively in the public interest. Due to their statutory obligation of confidentiality, BaFin's staff are not in a position to provide third parties with information regarding the progress and results of administrative proceedings.

However, there are ways in which you can support BaFin's work: if you have any specific information about the offeror mentioned above – such as contract templates, e-mail addresses, telephone or fax numbers of contact persons or the offeror's account details – please do not hesitate to get in touch with

contact point for whistleblowers.

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