

CNMV ISSUES WARNING TO THE PUBLIC ON UNREGISTERED FIRM

Pursuant to the second paragraph of Article 17 of the Securities Markets Law (recast text approved by Royal Legislative Decree 4/2015, of 23 October), the *Comisión Nacional del Mercado de Valores* (National Securities Market Commission) warns that:

SOCIÉTÉ GENERALE D'PATRIMOINE, S.A.

is not authorised to provide the investment services detailed in Article 140 of the Securities Markets Law, **which include investment advice**, or to provide the auxiliary services detailed in letters a), b), d), f) and g) of Article 141 of the said Law in relation to the financial instruments detailed in Article 2 of the said Law, including, for those purposes, foreign currency transactions; and **is not authorized to perform the activities reserved for collective investment institutions** detailed in paragraph 1 of Article 1 of Law 35/2003, of 4 th November, on Collective Investment Institutions.

Additionally, CNMV advises that the following person is related to the above-mentioned company:

D. CARLOS GABRIEL STETSKAMP DE LEÓN

For any enquiries, please contact the *Comisión Nacional del Mercado de Valores* enquiry line on 900 535 015 or visit CNMV's website (<u>www.cnmv.es</u>).

18 March 2019