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Apollos Capital

15. November 2019 | <u>Investor Warning (https://www.fma.gv.at/en/category/news-en/investor-warning/)</u>

Pursuant to Article 4 para. 7 first sentence of the Bankwesengesetz (BWG; Banking Act), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette "Amtsblatt zur Wiener Zeitung", or in any other newspaper with nationwide circulation, that a particular natural or legal person (person) is not entitled to carry out certain banking transactions.

By publication in the official gazette "Amtsblatt zur Wiener Zeitung" on 15.11.2019, the FMA hereby informs that

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is not entitled to carry out banking transactions in Austria that require a licence. The provider is therefore not permitted to trade in financial futures contracts (futures) on its own account or on the account of others, including equivalent instruments settled in cash as well as call and put options on the instruments listed in Article 1 para. 1 no. 7 points a and d to f of the Banking Act (BWG; Bankwesengesetz), including equivalent instruments settled in cash (futures and options business) pursuant to Article 1 para. 1 no. 7 point c BWG.

« <u>CobraCFD Ltd</u> (<u>https://www.fma.gv.at/en/cobracfd-ltd/</u>) The European Central Bank
(ECB) has revoked the licence
held by Anglo Austrian AAB Bank
AG (previously Meinl Bank AG)
(https://www.fma.gv.at/en/theeuropean-central-bank-ecb-hasrevoked-the-licence-held-byanglo-austrian-aab-bank-agpreviously-meinl-bank-ag/) »

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