

FSA ALERT – Hardwicke Advisory

The Financial Services Authority ("FSA") would like to alert ESMA that:

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is not authorised under the Financial Services and Markets Act 2000 ("**FSMA**") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares). The FSA believes that this organisation may be targeting UK customers.