



The following is a list of unlicensed overseas companies, suspected scam websites and phishing scams which have come to the attention of the SFC.

Most of these unlicensed companies either represent themselves as having operations in Hong Kong by using the address of a mail forwarding facility or settle their transactions through a bank account in Hong Kong, when in fact they do not have any actual operations in Hong Kong. Alternatively, they may operate from overseas and contact potential investors in Hong Kong whilst not having been licensed in Hong Kong. While some of them may be boiler room operations, others may merely have failed to comply with licensing requirements.

Investors should exercise extreme caution when dealing with companies that are not licensed in any jurisdiction.

Suspected scam websites may either be scamsters using their websites to offer too-good-to-be-true investment opportunities (e.g. offering pre-IPO stocks of fabricated companies at huge discounts, or funds with guaranteed multiple returns) which do not actually exist, or fraudulent copycat websites which imitate the websites of reputable financial institutions to lure investors to part with their money and/or disclose personal information which the fraudsters may use to swindle investors.

Similar to fraudulent copycats, phishing is a term used to describe scamsters imitating legitimate financial institutions, notably banks, in emails to entice recipients to disclose their credit card/bank account numbers as well as their respective passwords/ Personal Identification Numbers (PINs).

Recently, it has come to the SFC's attention that boiler room activities, scam websites and phishing have become more active. If you wish to find out more about these scams, please refer to the subsections "[Boiler Room Operations](#)", "[Scam Websites](#)" and "[Fraudulent Copycat Websites and Phishing Scams](#)". You can also read the [investor alert lists of overseas regulators](#) in the "[Boiler Room Operations](#)" subsection.

Charles Schwab Bank (not related to Charles Schwab Bank, N.A.)

(Claims to represent Charles Schwab Bank sending emails to certain members of the public requesting them to fill in an attached Customer Signature Authorization Form with their personal information.)

Added on 6 July 2005

Edenville Consultancy Limited

Has used the website address of: www.edenvilleurope.com

(Name used: David Koblinsky)

Added on 6 July 2005

First Swiss Financial Management AG

Gives an address of:

Gessnerallee 48
CH-8001 Zurich
Switzerland

(The company appears to target Hong Kong investors.)

Added on 6 July 2005

Opus Financial Group

Gives an address of:

Tropicana Plaza
P.O. Box 656, Providenciales
Turks & Caicos Islands
British West Indies

(The company maintains a website with the domain name "www.opusfinancialgroup.tc/index.html". It appears to target Hong Kong investors.)

Added on 6 July 2005

The Kaikatsu Group

Gives addresses of :

Tokyo Ginko Kyokai Building
1-3-1 Marunouchi, Chiyoda-Ku
Tokyo, 100-0005, Japan

16/F, Langham Place Office Tower
555 Shanghai Street, Mongkok
Kowloon, Hong Kong

(It maintains a website with the domain name: www.kaikatsugroup.com.
Financial Supervisory Agency (FSA) of Japan has verified that The Kaikatsu
Group does not have a licence or authorization regarding securities,
investment advisor and investment trust.)

Added on 6 July 2005

Bernard Simpson Consultants

Gives addresses of :

Room 1, Floor 1, Straits Trading Building
2 Lebuhr Pasar Besar
Kuala Lumpur 50050
Malaysia

Level 40, Tower 2,
Petronas Twin Towers
Kuala Lumpur 50088
Malaysia

Plaza Sentral 9th Floor
Jl Jenderal Sudirman No 47
Jakarta 12930
Indonesia

Centro Corporativo Internacional
Avenida 6/8, Calle 26
Piso 3, San Jose

Costa Rica

3001 Trade Tower, 159-1 Samsung-Dong
Gangnam-Gu
Seoul 135-729
Korea

Name used: Thomas Harte, Richard Good, Alex Lee and Damien White

(The company maintains a website with the domain names:
www.bernardsimpson.net and www.bscmail.net. It also has a bank account
in Hungary and appears to target Hong Kong investors.)

Added on 27 November 2003

Last updated on 6 July 2005