(https://www.fma.gv.at/en/) > News (https://www.fma.gv.at/en/category/news-en/) > Investor Warning (https://www.fma.gv.at/en/category/news-en/investor-warning/) > http://fhpfinance.com/

## http://fhpfinance.com/

10. July 2019 | Investor Warning (https://www.fma.gv.at/en/category/news-en/investorwarning/)

Inter alia pursuant to Article 4 para. 7 of the Banking Act (BWG; Bankwesengesetz) as well as Article 92 para. 11 first sentence of the Securities Supervision Act of 2018 (WAG 2018, Wertpapieraufsichtsgesetz 2018), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, or in any other newspaper with nationwide circulation, that a particular natural or legal person (person) is not entitled to carry out certain investment services (Article 3 para. 2 nos. 1 to 4 WAG 2018), provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette "Amtsblatt zur Wiener Zeitung" of 12.07.2019, the FMA hereby informs that the unknown operator of the website

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E-Mail: info@fhpfinance.com

is not authorised to provide investment services and banking services in Austria that require a licence. The provider is therefore not permitted to trade in transferable securities on own account or on the account of others on a commercial basis (Article 1 para 1 no. 7 BWG) as well as to provide investment advice on a commercial basis with regard to financial instruments (Article 3 para. 2 no. 1 WAG 2018).

« Digitalisation in the Austrian financial market (https://www.fma.gv.at/en/digitalisationin-the-austrian-financial-market/)

Announcement: FMA imposes sanction against a responsible person of WW Holding AG for a breach against the Market Abuse Regulation (MAR) (Regulation (EU) 596/2014)

(https://www.fma.gv.at/en/announcementfma-imposes-sanction-against-aresponsible-person-of-wwholding-ag-for-a-breach-againstthe-market-abuse-regulation-mar-

regulation-eu-596-2014/) »

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