Comisión Nacional
del Mercado de Valores
REGISTRO DE Entrada
Nº 2017037785 05/04/2017 11:43

CNMV COMISION NACIONAL DEL MERCADO DE VALORES

STANDARD FORM I

NOTIFICATION FORM FOR MAJOR HOLDINGS (by those who do not have the status of director of the issuer) (has to be sent to the issuer and to the Comisión Nacional del Mercado de Valores)[i]

1. Identity of the issuer [ii]: Abengoa, S.A.

2. Reason for the notification (mark as appropriate):

[x] Acquisition or disposal of voting rights — Transaction carried out in a regulated market ☒
[ ] Acquisition or disposal of financial instruments
[ ] Modification of the number of voting rights of the issuer
[ ] Other reasons (please specify)[iii]:

3. Identity of person subject to the notification obligation [iv]:

First and Last names or Company name	City and country of registered office (if applicable):
D. E. Shaw & Co., L.P.	Delaware, United States of America

( ) Agreement for concerted exercise of voting rights [v]

First and Last names or company name of the parties to the agreement	Number of voting rights	% voting rights

4. Full name of shareholder(s) or holder of the financial instrument (if different from 3) (see 4 bis in the annex)[vi]:
D. E. Shaw Galvanic International, Inc.
D. E. Shaw Valence International, Inc.

5. Date on which the threshold was crossed or reached [vii]:
31/03/2017

6. Total position of person(s) subject to the notification obligation:

	% of voting rights attached to shares (total of 7.A)	% of voting rights through financial instruments (total of 7.B.1 + 7.B.2)	Total of both in % (7.A + 7.B)	Total number of voting rights of the issuer [viii]
Resulting situation on the date on which threshold was crossed or reached	1.273%	0.001%	1.275%	183,274,475,800
Position of previous notification (if applicable)	3.620%	0.000%	3.620%	

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7. Notification detail of the resulting situation on the date on which the threshold was crossed or reached [ix]

A: Voting rights attached to shares

Class or type of shares ISIN Code [x] (if possible)	Number of voting rights [xi]		% voting rights	
	Direct (Art 9 Directive 2004/109/EC and Art 23 RD 1362/2007)	Indirect (Art 10 Directive 2004/109/EC and Art 24 RD 1362/2007)	Direct (Art 9 Directive 2004/109/EC and Art 23 RD 1362/2007)	Indirect (Art 10 Directive 2004/109/EC and Art 23 RD 1362/2007)
Class A (ISIN: ES0105200416)		2,112,023,107		1.152%
Class B (ISIN: ES0105200002)		221,658,211		0.121%
SUBTOTAL A	2,333,681,318		1.273%	

B 1: Financial instruments according to Art. 13(1)(a) Directive 2004/109/EC and Art. 28.1 a) RD 1362/2007

Type of financial instrument	Expiration date [xii]	Exercise/ conversion period [xiii]	Number of voting rights that may be acquired if the instrument is exercised/converted	% of voting rights
		SUBTOTAL B.1		

B 2: Financial instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC and Art. 28.1 b) of RD 1362/2007

Type of financial instrument	Expiration date[xii]	Exercise/ conversion period[xiii]	Physical or cash settlement	Number of voting rights [xiv]	% of voting rights
Equity Swap	04/01/2018		Cash Settled	719,515	0.000%
Equity Swap	03/05/2018		Cash Settled	1,769,151	0.001%
			SUBTOTAL B.2	2,488,666	0.001%

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8. Information in relation to the person subject to the notification obligation (please tick the applicable box):

[ ] Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer[xv]

[x] Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity[xvi]

Information in relation to the full chain of control:

First and last names or Company name [xvi]	% of voting rights	% of voting rights through financial instruments	Total (if the sum is equal or higher than 3% or 1% when the residence is in a tax haven jurisdiction)
David E. Shaw	1.275%		1.275%
D. E. Shaw & Co., Inc.	1.275%		1.275%
D. E. Shaw & Co., L.P.	1.275%		1.275%
D. E. Shaw Adviser II, L.L.C.	0.752%		0.752%

9. Voting rights received/granted by way of proxy for a particular General Meeting [xvii]

Number of voting rights	% of voting rights	Date (dd/mm/yyyy)

10. Additional information [xviii]**:**

D. E. Shaw & Co., L.P. ("DESCO LP") is providing this notification solely in its capacity as a discretionary investment adviser. In particular, DESCO LP is the managing member and investment adviser of D. E. Shaw Valence Portfolios, L.L.C. ("ValPort"), which in turn wholly owns D. E. Shaw Valence International, Inc. ("ValInc").

DESCO LP is also the managing member and sole owner of D. E. Shaw Adviser II, L.L.C. ("Adviser II"), which in turn is the investment adviser of D. E. Shaw Galvanic Portfolios, L.L.C. ("GalPort"), which in turn wholly owns D. E. Shaw Galvanic International, Inc. ("GalInc").

As general partner of DESCO LP, D. E. Shaw & Co., Inc. ("DESCO Inc.") is a parent undertaking of DESCO LP and, indirectly, of Adviser II.

By virtue of David E. Shaw's position as President and sole shareholder of DESCO Inc., David E. Shaw may be deemed to be a parent undertaking of DESCO LP and may be deemed to have to power to vote or direct the disposition of the 2,336,169,984 voting rights of Abengoa, S.A. held by GalInc and ValInc, and the voting rights of Abengoa, S.A. held by other entities in respect of which DESCO LP acts as investment adviser. However, neither David E. Shaw nor DESCO Inc. own any shares directly and are not involved in the investment decision making process conducted by DESCO LP. In practice, David E. Shaw and DESCO Inc. do not exercise discretion over the voting rights of Abengoa, S.A. disclosed herein.

Annulment of notifications previously sent

Number incoming register	Date incoming register	Reasons for the annulment

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Place and date of the notification

London, 5th April 2017