**Financial Services Authority** 

**ALERT** 

We (the Financial Services Authority – FSA) have published this statement today in order to

warn investors against dealing with unauthorised firms.

This statement is to advise members of the public that an organisation identifying itself to UK

individuals as:

**Gotthard Capital Partners** 

Current website address as at 06/10/08: www.gotthardcp.com

Mailing address: Kasumigaseki Building 28th Floor 2-5, Kasumigaseki 3-

Chome, Chiyoda-ku Tokyo, Japan

is not authorised under the Financial Services and Markets Act 2000 (FSMA) to carry on a

regulated activity in the UK. Regulated activities include, amongst other things, advising on

investments and dealing and arranging deals in investments ('investments' include stocks and

shares). We believe that the organisation may be targeting UK customers.

What does this mean for you?

If you are an investor, you should be aware that the Financial Ombudsman Service and the

Financial Services Compensation Scheme are not available if you deal with an

unauthorised company or individual.

How can you check whether a firm is authorised?

To find out whether a company or individual is authorised, go to the FSA Register Check

Service at http://www.fsa.gov.uk/Pages/register/

Date: 06/10/2008

Note: For an up-to-date list of unauthorised firms issued, please visit:

www.fsa.gov.uk/Pages/Doing/Regulated/Law/Alerts/index.shtml

For further information on unauthorised firms targeting UK investors see the press release issued on 6 June 2006 at:

www.fsa.gov.uk/pages/Library/Communication/PR/2006/053.shtml

For more details about the tactics that are commonly adopted by unauthorised firms targeting UK investors see the warning issued in April 2005 available at:

www.fsa.gov.uk/pages/Library/Communication/PR/2005/039.shtml

The Share Investments Scam leaflet updated in July 2008 available at:

http://www.moneymadeclear.fsa.gov.uk/pdfs/share scams.pdf