

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

FHM Associates/Fuller-Hathaway Moy Associates
70 East 42nd Street
Lincoln Building
New York
United States
Tel: +1 646 502 8162
Fax: +1 646 736 0426
Website: www.fhmassociates.com
Email: info@fhmassociates.com

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/overseas.shtml>

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.