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# FMA warns against offerings by Capital Counsel LLC

4. December 2024 | Warning

## ANNOUNCEMENT

The FMA warns against offerings by

Capital Counsel LLC

Web: <https://capitalcoun.com/>

E-Mail: [invest@capitalcoun.de](mailto:invest@capitalcoun.de)

with its registered offices apparently in: New York, London and St. Pölten

This provider is not authorised to conduct investment services in Austria that require a licence, and therefore is not allowed to offer investment advice on a commercial basis in relation to financial instruments (Article 3 para. 2 no. 1 of the Securities Supervision Act 2018 (WAG 2018; Wertpapieraufsichtsgesetz 2018)).

We advise that there is a risk of confusion with another financial services provider.

The basis for this publication is Article 92 para. 11 of the Securities Supervision Act 2018 (WAG 2018; Wertpapieraufsichtsgesetz 2018).

Anyone providing banking services, and financial and securities services in Austria, requires the necessary authorisation or licence to do so from the Austrian Financial Market Authority (FMA). Some undertakings offer such services without holding the necessary authorisation. Information about whether a specific undertaking has been authorised/licensed by the FMA, can be found in the FMA Company Database.

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