

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

**AW ASSOCIATES** (Clone of FSA Registered firm)

*also uses* **ANDREW WARNER ASSOCIATES / A.WARNER ASSOCIATES**

*Tel:* 0845 527 2857

0292 000 3520

*Fax:* 0292 000 8739

*Website:* [www.aw-associates.co.uk](http://www.aw-associates.co.uk)

*Email:* [info@aw-associates.co.uk](mailto:info@aw-associates.co.uk)

[advisory@aw-associates.co.uk](mailto:advisory@aw-associates.co.uk)

[stockdepositqueries@aw-associates.co.uk](mailto:stockdepositqueries@aw-associates.co.uk)

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms>

This entity is using the details of a FSA Registered firm. The following firm has no association whatsoever with the organisation described above that is identifying itself as **AW Associates, Andrew Warner Associates, A.Warner Associates**:

- **Andrew Warner**

*FSA Firm Registration Number:* 489099

Andrew Warner is an appointed representative firm of Julian Harris Mortgages Limited (FSA number: 304155).

If you have any further questions on this matter please email our Consumer Contact Centre at [consumer.queries@fsa.gov.uk](mailto:consumer.queries@fsa.gov.uk), or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.