

## CNMV ISSUES WARNING TO THE PUBLIC ON UNREGISTERED FIRM

Pursuant to the second paragraph of Article 17 of the Securities Markets Law (recast text approved by Royal Legislative Decree 4/2015, of 23 October), the Comisión Nacional del Mercado de Valores (National Securities Market Commission) warns that:

## **KAPITAL TRADE (CLON)**

Is not related to TRADE CAPITAL MARKETS (TCM) LIMITED, duly registered with the CNMV under number n° 3698 as an European Investment Firm in Spain neither TRADE CAPITAL MARKETS (TCM) LIMITED, SUCURSAL EN ESPAÑA duly registered with the CNMV under number n° 122 as a branch of an European Investment Firm in Spain.

<u>is not authorised</u> to provide the investment services set out in Article 140 of the Spanish Securities Markets Act, which include investment advice, or to provide the ancillary services detailed in Article 141(a), (b), (d), (f) and (g) of said Act in relation to the financial instruments indicated in Article 2 of said Act, including, for such purposes, foreign currency transactions.

For any enquiries, please contact the *Comisión Nacional del Mercado de Valores* enquiry line on 900 535 015 or visit CNMV's website (<u>www.cnmv.es</u>).

23 May 2022