Financial Conduct Authority



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Boiler Room Name: UBS Global Asset Management Funds Ltd (Imitating FCA Authorised

firm's name)

Address: 21 Lombard Street, London, EC3V 9AH (Cloning FCA Authorised firm's address)

Telephone: 0203 000 7931, 0207 060 4827

Fax: 0203 000 7941

Website: www.ubs-pb.com

Email: michael.stevens@ubs-pb.com, accounts@ubs-pb.com, info@ubs-pb.com.

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

 $\underline{http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid}$

This entity is cloning the name and address of a FCA authorised firm. In this instance, the scammer is believed to be using the name "UBS Global Asset Management Funds Ltd" and mixing it with the Firm Reference Number "119319" which belongs to a separate entity named UBS Global Asset Management (UK) Ltd. The following FCA authorised firms have no association whatsoever with the organisation discussed above identifying itself as UBS Global Asset Management Funds Ltd:

Firm name: UBS Global Asset Management (UK) Ltd

Firm reference number: 119319

Address: 21 Lombard Street, London EC3V 9AH

Telephone: 020 7901 5050 Website: www.ubs.com

Firm name: UBS Global Asset Management Funds Ltd

Firm reference number: 141661

Address: 21 Lombard Street, London EC3V 9AH

Telephone: 020 7901 5050 Website: www.ubs.com

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.