

The following is a list of unlicensed overseas companies, suspected scam websites and phishing scams which have come to the attention of the SFC.

Most of these unlicensed companies either represent themselves as having operations in Hong Kong by using the address of a mail forwarding facility or settle their transactions through a bank account in Hong Kong, when in fact they do not have any actual operations in Hong Kong. Alternatively, they may operate from overseas and contact potential investors in Hong Kong whilst not having been licensed in Hong Kong. While some of them may be boiler room operations, others may merely have failed to comply with licensing requirements.

Investors should exercise extreme caution when dealing with companies that are not licensed in any jurisdiction.

Suspected scam websites may either be scamsters using their websites to offer too-good-to-be-true investment opportunities (e.g. offering pre-IPO stocks of fabricated companies at huge discounts, or funds with guaranteed multiple returns) which do not actually exist, or fraudulent copycat websites which imitate the websites of reputable financial institutions to lure investors to part with their money and/or disclose personal information which the fraudsters may use to swindle investors.

Similar to fraudulent copycats, phishing is a term used to describe scamsters imitating legitimate financial institutions, notably banks, in emails to entice recipients to disclose their credit card/bank account numbers as well as their respective passwords/ Personal Identification Numbers (PINs).

Recently, it has come to the SFC's attention that boiler room activities, scam websites and phishing have become more active. If you wish to find out more about these scams, please refer to the subsections "[Boiler Room Operations](#)", "[Scam Websites](#)" and "[Fraudulent Copycat Websites and Phishing Scams](#)". You can also read the [investor alert lists of overseas regulators](#) in the "[Boiler Room Operations](#)" subsection.

Harrison Lee Partners

Gives an address of:
82 Winglok Street
Hong Kong

Porter Ventures

Gives an address of:
3705 Bank of America Tower, Suite 522
No. 12 Harcourt Road
Hong Kong

Name used: P Lee Porter

(The company maintains a website with the domain name: www.portvent.com)

Regions Bank (not related to Regions Bank, a subsidiary of Regions Financial Corporation, USA)

(Claims to represent Regions Bank, and sends emails to certain members of the public advising the technical services of the Regions Bank are carrying out a planned software upgrade and requesting recipients to use the attached link to enter their personal information and passwords etc., for confirmation.)

SMI Limited (BVI)

Gives an address of:

SAIF Zone, Sharjah. UAE. PO Box 8924, SAIF Zone Sharjah

It maintains a website at www.smiequity.com.

Warner Beck Incorporated

Gives the following address but is not located there:

16/F, Cheung Kong Centre
2 Queen's Road Central, Wanchai
Hong Kong

(The website of Warner Beck Incorporated is www.warnerbeck.com.)