

## CNMV ISSUES WARNING TO THE PUBLIC ON UNREGISTERED FIRM

Pursuant to the second paragraph of Article 18 of the Securities Markets and Investment Services Act (Law 6/2023, March 17) the Comisión Nacional del Mercado de Valores (National Securities Market Commission) warns that:

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## **RACKSPRUCE LTD**

<u>are not authorised</u> to provide the investment services detailed in Article 125 of the Spanish Securities Markets and Investment Services Act, which include investment advice, nor to provide the auxiliary services detailed in Article 126 (a), (b), (d), (f) and (g) of said Act in relation to the financial instruments detailed in Article 2 of said Act, including, for those purposes, foreign currency transactions.

For any enquiries, please contact the *Comisión Nacional del Mercado de Valores* enquiry line on 900 535 015 or visit CNMV's website (<u>www.cnmv.es</u>).

17 April 2023