

Financial Conduct Authority



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Boiler Room Name: UBS Global Investment Management Ltd (**Clone of FCA & EEA authorised firms**)

Address: 21 Lombard Street, London, EC3V 9AH (Cloning FCA Authorised firm's address)

Telephone: 02031295463 and 02031291403

Fax: 0871314061

Email: admin@ubs-im.com & info@ubs-im.com

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

<http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid>

This entity is cloning the names and addresses of FCA and EEA authorised firms. The following FCA & EEA authorised firms have no association whatsoever with the organisation discussed above identifying itself as:

Firm name: UBS Asset Management (UK) LTD

Firm reference number: 119319

Address: 21 Lombard Street London, EC3V 9AH, United Kingdom

Telephone: 02079015050 and 02079015536

Website: www.ubs.com

Firm name: UBS Fund Management (Luxembourg) S.A

Firm reference number: 431754

Address: 33A avenue J. F. Kennedy, L-1855 Luxembourg, LUXEMBOURG

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.