Financial Services Authority



The **Financial Services Authority** ("**FSA**") of the United Kingdom would like to alert all recipients that:

PEX GLOBAL INC

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New York NY 10017

USA

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is <u>NOT</u> authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

This entity is using the name of a firm registered with the US Financial Industry Regulatory Authority, Inc (FINRA).

The FSA believes that this organisation may be targeting UK customers and may be acting as a "recovery room". Therefore, we have added this firm to our list of unauthorised firms which can be found at:

www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/unauthorised.shtml

If you have any further questions on this matter please email our Consumer Contact Centre at <u>consumer.queries@fsa.gov.uk</u>, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.