Financial Services Authority



The **Financial Services Authority** ("**FSA**") of the United Kingdom would like to alert all recipients that:

Reliance Capital,
7 King William Street,
London,
EC2N 4DA.

Reliance Capital,
14 Upper Bank Street,
London,
5EE

Tel: 08000112383/02070609480

Fax: 08000112381

is <u>NOT</u> authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

http://www.fsa.gov.uk/warnings

What does this mean for you?

If you are an investor, you should be aware that **the Financial Ombudsman Service** and **the Financial Services Compensation Scheme are not available** if you deal with an unauthorised company or individual.

How can you check whether a firm is authorised?

To find out whether a company or individual is authorised, go to the FSA Register Check Service at http://www.fsa.gov.uk/Pages/register/

Date: 07/06/2012

Note: For an up-to-date list of unauthorised firms issued, please visit: http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms

For further information on unauthorised firms targeting UK investors see the press release issued on 17 April 2012 at:

www.fsa.gov.uk/library/communication/pr/2012/038.shtml

For more details about the tactics that are commonly adopted by unauthorised firms targeting UK investors see the "Stay Safe from Scams" page available at: www.fsa.gov.uk/consumerinformation/scamsandswindles/safe

The Shares Investments Scam leaflet is available at:
www.fsa.gov.uk/static/FsaWeb/Shared/Documents/pages/doing/regulated/law/pdf/war
ning-to-shareholders.pdf