

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

## **Wellington Management**

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is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

**The entity named above is using the details of an FSA authorised firm. The following firm has no association whatsoever with the organisation that is identifying itself as Wellington Management:**

- **Wellington Management International Ltd**  
Cardinal Place, 80 Victoria Street, London SW1E 5JL  
*FSA Firm Registration Number: 428513*

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our lists of unauthorised firms/individuals and unauthorised overseas firms operating in the United Kingdom. These lists can be found at:

<http://www.fsa.gov.uk/warnings>

If you have any further questions on this matter please email our Consumer Contact Centre at [consumer.queries@fsa.gov.uk](mailto:consumer.queries@fsa.gov.uk), or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.