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Stanton Wade

24. October 2020 | <u>Investor Warning (https://www.fma.gv.at/en/category/news-en/investorwarning/)</u>

Pursuant to Article 4 para. 7 first sentence of the Bankwesengesetz (BWG; Banking Act), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette "Amtsblatt zur Wiener Zeitung", or in any other newspaper with nationwide circulation, that a particular natural or legal person (person) is not entitled to carry out certain banking transactions.

By publication in the official gazette "Amtsblatt zur Wiener Zeitung" on 24.10.2020, the FMA hereby informs that

Stanton Wade

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is not entitled to carry out banking transactions in Austria that require a licence. The provider is therefore not permitted to trade in securities on its own account or on the account of others (securities business) pursuant to Article 1 para. 1 no. 7 lit. e BWG.

« FMA Quarterly Report for Q3 2020 for Supervision of Capital Market Prospectuses: FMA approves 18 prospectuses and 14 supplements. <u>Lapsing of Licences</u> (https://www.fma.gv.at/en/lapsingof-licences-5/) » (https://www.fma.gv.at/en/fmaquarterly-report-for-q3-2020-forsupervision-of-capital-marketprospectuses-fma-approves-18prospectuses-and-14supplements/)

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