



Procedure for connecting and FIRDS files reporting from Other Data Services Provider (ODP) to CNMV

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Other Data Services Providers (ODPs) can report FIRDS files information (instrument reference data, transparency data and double volume cap) under MiFIR No. 600/2014 on behalf of those entities which decide to delegate this reporting obligation.

In this context, the procedure for connecting and subsequent file submission from the ODPs to CNMV will follow the steps below:

1. There will be an identification process, where the ODP will have to fill in the [Obliged Entity Registration Form \(FIRDS\)](#) and send it to CNMV, which will check the form and request further information where needed.
2. The communication channel will be CNMV's FTPS server; once an ODP has sent the Registration Form, CNMV will provide the ODP with the credentials and access parameters for the production environment to reach the FTPS server accordingly.
3. The instructions for submitting the files can be found at ESMA website in <https://www.esma.europa.eu/policy-rules/mifid-ii-and-mifir/mifir-reporting-instructions>, where anyone can easily find and download the related links regarding FIRDS Reference Data and Transparency Data, which include not only FIRDS Technical reporting instructions and validations, but also the corresponding XML schemas.
4. File exchange flow between the ODP and CNMV, naming convention, error handling and other issues are described in the corresponding Technical Reporting Instructions document published by ESMA.

5. Regarding the security of the communications and file exchange, it will be granted through the FTPS server (secure and encrypted channel). Credentials provided will allow an ODP reaching its folder in the FTPS server.

Connection to CNMV is free of charge and there are no fees to be paid by the ODP for the submission of files on an ongoing basis.