

[🏠 \(https://www.fma.gv.at/en/\)](https://www.fma.gv.at/en/) > [News \(https://www.fma.gv.at/en/category/news-en/\)](https://www.fma.gv.at/en/category/news-en/) >
[Investor Warning \(https://www.fma.gv.at/en/category/news-en/investor-warning/\)](https://www.fma.gv.at/en/category/news-en/investor-warning/) > Goldberg Financial

Goldberg Financial

13. March 2020 | [Investor Warning \(https://www.fma.gv.at/en/category/news-en/investor-warning/\)](https://www.fma.gv.at/en/category/news-en/investor-warning/)

Pursuant to Article 92 para. 11 first sentence of the Securities Supervision Act of 2018 (WAG 2018, Wertpapieraufsichtsgesetz 2018), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette “Amtsblatt zur Wiener Zeitung”, or in any other newspaper with nationwide circulation, that a particular natural or legal person is not authorised to provide certain investment services (Article 3 para. 2 nos. 1 to 4 WAG 2018), provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette “Amtsblatt zur Wiener Zeitung” on 13.03.2020, the FMA hereby informs that

Goldberg Financial

Av. Jules Bordet 160/16

Bruxelles

+32 234 20 223

+32 280 82 812

info@goldberg.financial

www.goldberg.financial

de.goldberg.financial

is not entitled to provide investment services in Austria that require a licence. The provider is therefore not permitted to provide commercial receiving and transmitting of orders in relation to financial instruments (Article 3 para. 2 no. 3 WAG 2018).

« [United LondonBrokers \(https://www.fma.gv.at/en/united-londonbrokers/\)](https://www.fma.gv.at/en/united-londonbrokers/)

[The European Securities and Markets Authority \(ESMA\) imposes reporting obligation on net short positions of 0.1% and higher. \(https://www.fma.gv.at/en/the-european-securities-and-markets-authority-esma-imposes-reporting-obligation-on-net-short-positions-of-0-1-and-higher/\)](https://www.fma.gv.at/en/the-european-securities-and-markets-authority-esma-imposes-reporting-obligation-on-net-short-positions-of-0-1-and-higher/) »

[Back to the list \(https://www.fma.gv.at/en/category/news-en/\)](https://www.fma.gv.at/en/category/news-en/)