WARNING ON UNREGISTERED INSTITUTIONS IN INTERNATIONAL SUPERVISORS

18 November 2026

The National Securities Market Commission (CNMV) publishes the following list of warnings reported on unregistered entities that were received through international supervisors:

Entity warned	Supervisor	Observation
FEDERLI INFORMATIK GMBH	BAFIN - ALEMANIA	BAFIN ha suspendido el ofrecimiento al público de valores en forma de acciones de Federli Informatik AG por parte de Federli Informatik GmbH por no haber publicado EL FOLLETO INFORMATIVO O DOCUMENTO EQUIVALENTE REQUERIDO POR LA NORMATIVA DE VALORES
UNIOX GOLD LLC	BAFIN - ALEMANIA	BAFIN ha prohibido a Uniox Gold LLC la oferta al público de oro físico por no haber publicado EL FOLLETO INFORMATIVO O DOCUMENTO EQUIVALENTE REQUERIDO POR LA NORMATIVA DE VALORES.
NOVARO ESTATES TREUUNTERNEHMEN	BAFIN - ALEMANIA	BAFIN tiene indicios que indican que Novaro Estates Treuunternehmen está ofreciendo un producto de inversión al público sin haber publicado EL FOLLETO INFORMATIVO O DOCUMENTO EQUIVALENTE REQUERIDO POR LA NORMATIVA DE VALORES.

For more details on the warnings received from foreign regulators at the European level, please visit the CNMV website to view any existing warnings on unauthorized entities and other warnings.

In addition, there is a search engine available at the CNMV website.

To view additional warnings from non-European regulators, click on the Investor Alerts link at the IOSCO website.

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