

Collaboration agreement

The CNMV to access information from the beneficial owners and persons with public responsibility notarial databases

Madrid, 31 March 2022.- The agreement concluded between both institutions was signed this morning by Rodrigo Buenaventura, Chairman of the Spanish National Securities Market Commission (CNMV) and José Ángel Martínez Sanchiz, President of the Spanish General Council of the Notariat.

Pursuant to said agreement, the CNMV shall receive information from the beneficial owners and persons with public responsibility notarial databases, which are managed by the Council's Centralised Organisation for the Prevention of Money Laundering (*Órgano Centralizado de Prevención del Blanqueo, OCP*).

The Notariat's beneficial owners database enables us to know who the natural persons who are the beneficial owners of a company or other legal structures are. This database includes accredited identification details of beneficial owners of more than 2,000,000 private limited companies, 126,000 public limited companies and 210,000 non-commercial legal persons such as associations, foundations or political parties.

The persons with public responsibility database includes accredited identification details of almost 25,000 elected or appointed persons with public responsibility, over 24,000 related persons (relatives and persons with a professional or commercial relationship), and more than 3,000 companies owned by persons with public responsibility.

Under this agreement, the Spanish General Council of the Notariat shall enable a platform from which the CNMV's requests for information shall be channelled. This tool includes all the necessary measures to guarantee the security and confidentiality of the data, which shall solely be used by the CNMV to carry out its supervisory functions and inspection of securities market and the activity of all natural and legal persons who trade on them.

Extension of the previous agreement

Back in 2011, the CNMV and Spanish General Council of the Notariat concluded an initial agreement to create collaboration mechanisms enabling the Commission to better supervise the securities markets and the activity of natural and legal persons related to their business. Since then, it has been provided with information from the Single Notarial Computerised Index (*Índice Único Informatizado Notarial*), which is a large database containing the notarial documents executed since 2004.