



**REQUEST FOR ACCESS  
OF PERSONAL DATA OF NATURAL PERSONS**

**DETAILS OF THE DATA CONTROLLER**  
COMISIÓN NACIONAL DEL MERCADO DE VALORES (Tax Identification code CIF Q-2891005-G), Calle Edison nº 4, 28006 Madrid.

**DETAILS OF THE DATA SUBJECT WHO OWNS THE PERSONAL DATA (please provide a copy of the identification document)**  
I, ....., holder of ID/Passport no....., domiciled at ..... no. ...., locality ....., province ....., post code ..... and e-mail .....

**DETAILS OF THE REPRESENTATIVE (if applicable, provide a copy of the identification document and of the document proving representation)**  
I, ....., holder of ID/Passport no. ...., domiciled at ..... no. ...., locality ....., province ....., post code ..... and e-mail ....., in the name and on behalf of the data subject identified above, by means of the representation document dated .....

The DATA SUBJECT, in his/her own name and duly identified by means of the accompanying documentation, or through his/her REPRESENTATIVE, duly accredited by means of the accompanying documentation, hereby exercises the **RIGHT TO ACCESS** over the data detailed below:

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.....

which are subject to the following processing activities by the data controller:

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And all this in accordance with the provisions of Articles 12 and 13 de la of the Spanish Organic Data Protection Law<sup>1</sup> and Articles 12 and 15 of the General Data Protection Regulation<sup>2</sup>, and consequently,

**I HEREBY REQUEST,**

1. That CNMV confirms as to whether or not personal data concerning him or her are being processed.
2. Where that is the case, facilitate free of access to the personal data and the following information:
  - a. the purposes of the processing;
  - b. the categories of personal data concerned;
  - c. the recipients or categories of recipient to whom the personal data have been or will be disclosed;
  - d. the envisaged period for which the personal data will be stored, or, if not possible, the criteria used to determine that period;
  - e. where the personal data are not collected from the data subject, any available information as to their source;
  - f. the existence of automated decision-making and, at least in those cases, meaningful information about the logic involved, as well as the significance and the envisaged consequences of such processing for the data subject.

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<sup>1</sup> Spanish Organic Law 3/2018, of 5 December, on Personal Data Protection and the guarantee of digital rights.  
<sup>2</sup> REGULATION (EU) 2016/679 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL, of 27 April 2016, on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, repealing Directive 95/46/EC (GDPR).



The SPANISH NATIONAL SECURITIES MARKET COMMISSION hereby informs you that your data gathered in this document will be subject to processing. Therefore, before signing this application, you should read the **information on data protection** set out below.

Data controller	COMISIÓN NACIONAL DEL MERCADO DE VALORES (Tax Identification code (CIF)), Calle Edison nº 4, 28006 Madrid. Contact details of the Data Protection Officer <a href="http://www.cnmv.es/portal/quees/Ejercicio-Derechos.aspx">http://www.cnmv.es/portal/quees/Ejercicio-Derechos.aspx</a>
Purpose	Your data are gathered for the purpose of identifying you as the person authorised to make this request, as well as for the purpose of sending you the relevant communications that may arise as a result of your request.
Legal basis	Your data are gathered in accordance with the CNMV's legal obligation to respond to your requests for your personal data rights.
Data retention period	The data will be kept for the period of time necessary to process this application, to provide for the possible liabilities that may arise from this purpose and the processing of the data, in addition to the periods of retention of documents established in the regulations on files and Spanish documentary heritage.
Recipients	Your data will be processed only by the different departments of the CNMV involved in the assessment of your application. Your data may only be disclosed to third parties from whom we require the information strictly necessary to evaluate your request, as well as to competent data protection authorities or bodies.
Rights	As the owner of the data requested in this document, you may exercise your rights of access, rectification, deletion and portability of your data, as well as limitation and objection to its processing. You have the right to file a complaint with the Spanish Data Protection Agency in the event that you consider that your rights over your personal data have been infringed and, prior to filing such a complaint, to contact the Data Protection Officer of the Spanish National Securities Market Commission.

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Signed:

Data subject	Representative