



ESMA public consultations on CCP resolution regime

Link to the papers:

- [Consultation on Guidelines on Template written agreement for resolution colleges](#)
- [Consultation on Guidelines Resolvability -Annex Section C Article 15\(5\) CCPRRR](#)
- [Consultation on Art 79 CCPRRR Cooperation Arrangements](#)
- [Consultation on Guidelines Template Summary Resolution Plans Article 12\(7\)\(a\)](#)

1.- Target participants

These consultation papers (CPs) are of particular interest for central counterparties (CCPs), authorities involved in the CCPs' recovery and resolution process, clearing members, clients and indirect clients.

2.- Information Note

ESMA launches four public consultations to further advance on the recovery and resolution regime for CCPs where the proposed Guidelines complement the new Recovery and Resolution Regulation and will provide further guidance on cooperation as well as provide EU regulators with the necessary tools to ensure supervisory convergence across the EU.

The first CP contains the proposed Guidelines regarding written arrangements between members of resolution colleges envisaged in the relevant regulations with regard to technical standards specifying the content of these arrangements and the procedures for the functioning of the resolution colleges. The second CP includes the proposed Guidelines dedicated to cover the establishment of a common set of aspects to be assessed under Article 15(5) of CCPRRR¹ in order to promote the convergence of resolution practices regarding the application of Section C of the Annex to CCPRRR. The third CP includes the proposed Guidelines specifying the types and content of the provisions included in the cooperation arrangements between authorities of Member States and third-country authorities ensuring effective planning, decision-making and coordination in respect of

¹ Regulation (EU) 2021/23 of the European Parliament and of the Council of 16 December 2020 on a framework for the recovery and resolution of central counterparties.

internationally active CCPs (both European CCPs and third-country CCPs). The fourth CP cover the proposed Guidelines providing resolution authorities with guidance as to the type of information that should be included in the summary of the resolution plan which would be shared with the CCP (and a template of the summary), in accordance with Article 12(8) of the CCPRRR.

3.- Submission of comments

The deadline for submitting comments is 1 August 2022.

Respondents may send their comments through ESMA's website: www.esma.europa.eu. The papers of these consultations and the Response Form are available in the following consultation sections:

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Likewise, please send a copy of your answers to the CNMV to the following email address: documentosinternacional@cnmv.es

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