

CNMV Markets Directorate General makes available to the public a mailbox for queries on matters related to the markets and financial instruments of MiFID II and MiFIR and their implementing regulations

CNMV's Secondary Markets Department has made available to the public an electronic mailbox for queries on matters related to the financial markets and instruments of the new regulations.

The questions whose answers may give rise to interpretations will be analyzed within ESMA in order to provide a coherent response. These questions and their answers will serve as a basis for the work that ESMA and CNMV will carry out prior to the implementation of the new regulatory framework. Conversely, those questions that do not require to be shared at European level will be resolved directly.

In order to facilitate analysis by CNMV, it is important that the questions are substantiated and accompanied, as far as possible, by a possible interpretation that the entity considers correct or appropriate.

The questions must be submitted by completing the form attached as an annex to this communication.

Email: mifid.mercados@cnmv.es

Annex:

Timex,
Name of natural person or entity:
Identification Code (CIF (tax ID code), DNI (Spanish national identity document number):
Contact person:
Email:
Phone No.:
Regulatory reference (indication of the articles of the Regulation, Directive and/or technical standards to which the question relates):
Question (description):
Other comments:
Own interpretation (if any):